

#### CERTIFICATE OF EXPRESS MAIL

#### NUMBER EL521288762US

DATE OF DEPOSIT August 30, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.



**PATENT** 



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Dkt. No: 11899.0155.DVUS02 (MOBT:155-3/KAM)

Prior Examiner: Nelson, A.

**Prior Group Art Unit: 1638** 

**BOX PATENT APPLICATION** 

Commissioner of Patents Washington, D.C. 20231

## REQUEST FOR FILING DIVISIONAL APPLICATION UNDER 37 C.F.R. § 1.53(b)

This is a request for filing a divisional application under Rule 53(b) (37 C.F.R. § 1.53(b)) of co-pending prior application Serial No. 09/313,213 filed May 17, 1999, entitled "METHODS OF OPTIMIZING THE SUBSTRATE POOLS AND BIOSYNTHESIS OF POLY- $\beta$ -HYDROXYBUTYRATE-CO-POLY- $\beta$ -HYDROXYVALERATE IN BACTERIA AND PLANTS."

1. Enclosed is a copy of the prior application Serial No. 09/313,213 as originally filed, including specification, claims, abstract, drawings, and declarations. The undersigned hereby verifies that the attached papers are a true copy of the prior application as originally filed and identified above, that no amendments (if any) referred to in the declaration filed to complete the prior application introduced

X

3. The Commissioner is authorized to deduct fees in the amount of \$950.00 from Howrey Simon Arnold & White Deposit Account No. 01-2508/11899.0155.DVUS02/KAM to cover the filing fee as calculated below and the fee for any new claims added in the Preliminary Amendment referred to in Part No. 9 below.

### CLAIMS AS FILED IN THE PRIOR APPLICATION LESS CLAIMS CANCELED BELOW

FOR			NUMBER FILED	NUMBER EXTRA		RATE	FEE
Basic	Fee						\$710.00
Total Claims Independent Claims			6 - 23 = 6 - 3 =	0	X X	\$18.00 \$80.00	\$0.00 \$240.00
		endent	Claim(s)				\$0.00
				TOTAL FILI	NG FEE	es:	\$950.00
		(a) (b)	A small entity star			rior nonprovisional ap	oplication and
		(b)	·		-	rior nonprovisional aj	oplication and
		(c)	such status is still Small entity statu				
$\boxtimes$	5.	The	Commissioner is h	ereby authori	zed to c	harge any fees und	er 37 C.F.R.
		§§ 1.	16 to 1.21 which	may be req	uired fo	or any reason rela	ting to this
		appli	cation, or credit a	ny overpaym	ent to H	lowrey Simon Arno	ld & White,

LLP Deposit Account No. 01-2508/11899.0155.DVUS02/KAM.

		(b) Copies of IDS citations.
$\boxtimes$	14.	Transfer the sequence information, including the computer readable form previously
		submitted in the parent application, Serial No. 09/313,213 filed May 17, 1999, for
		use in this application. Under 37 C.F.R. § 1.821(e), Applicant states that the
		paper copy of the sequence listing in this application is identical to the
		computer readable copy in parent application Serial No. 09/313,213 filed
		May 17, 1999. Under 37 C.F.R. § 1.821(f), Applicant also states that the
		information recorded in computer readable form is identical to the written
		sequence listing.
	15.	Other:
$\boxtimes$	16.	Return Receipt Postcard (should be specifically itemized).
		Respectfully submitted,
750 B Houst	ering D	as 77057-2198
Date:	Augi	ust 30, 2001

new matter therein, and further that this statement was made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application or any patent issuing thereon.

- (a) The inventorship is the same as prior Application Serial No. 09/313,213. The corrected inventorship is now properly Kenneth J. Gruys, Timothy A. Mitsky, Ganesh M. Kishore, Steven C. Slater, Stephen R. Padgette, and David M. Stark.
- (b) Deletion of inventor(s). Maud A.W. Hinchee, Thomas E. Clemente,
   Dannette V. Connor-Ward, Mary J. Fedele, Joyce E. Fry, Arlene
   R. Howe, and Renee J. Rozman are no longer inventors of the claimed subject matter of this pending application. See 37 C.F.R.
   § 1.63(d)(2) and 1.33(b).
- (c) Priority of foreign patent application number , filed in is claimed under 35 U.S.C. § 119(a)-(e). The certified copy:

  is enclosed.

has been filed in the prior Application Serial No.

2. The Assistant Commissioner is requested to grant Applicants a filing date in accordance with Rule 1.53, and supply Applicants with a Notice of Missing Parts in due course, in accordance with the provisions of Rule 1.53(f).

. . .

- (a) Enclosed is a copy of the current Power of Attorney in the prior application.
- 7. Address all future communications to:

Patricia A. Kammerer, Esq. HOWREY SIMON ARNOLD & WHITE, LLP 750 Bering Drive Houston, Texas 77057-2198 (713) 787-1400

- 8. The prior application is presently assigned to Monsanto Technology, LLC.
- 9. Enclosed is a preliminary amendment. Any additional fees incurred by this amendment are included in the authorization to deduct fees from the deposit account above and said fee has been calculated after calculation of claims and after amendment of claims by the preliminary amendment.
- 20. Cancel in this application claims 2-40 of the prior application before calculating the filing fee. (At least one original independent claim must be retained).
- Amend the specification by adding on page 2, line 5 the following paragraph: -This application is a divisional of co-pending application Serial No. 09/313,123
  filed May 17, 2001, which is a divisional of Serial No. 08/673,388, filed June 28,
  1996, now issued (US patent 5,958,745 September 28, 1999), which is a
  continuation-in-part of Serial No. 08/628,039, filed April 4, 1996, now issued (US
  patent 5,942,660 August 24, 1999), which is a continuation-in-part of Serial No.
  08/614,877, filed March 13, 1996, now issued (US patent 5,959,179 September
  28, 1999).--
- ☐ 12. Enclosed are formal drawings.
- X 13. An Information Disclosure Statement (IDS) is enclosed.
- (a) PTO-1449.



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: § KENNETH J. GRUYS, et al. Group Art Unit: 1816 Serial No.: 08/673,388 **Examiner: Unknown** Filed: June 28, 1996 METHODS OF OPTIMIZING SUBSTRATE Atty. Dkt: For: 38-21(13618)A POOLS AND BIOSYNTHESIS OF POLY-B-(MOBT:155---) HYDROXYBUTYRATE-CO-POLY-ß-§ HYDROXYVALERATE IN BACTERIA § AND PLANTS §

# CORRESPONDENCE ADDRESS ELECTION UNDER 37 C.F.R. §§ 3.71 and 3.73 AND POWER OF ATTORNEY

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Please direct all communications as follows:

Brenda Benavides, Paralegal ARNOLD, WHITE & DURKEE P. O. Box 4433 Houston, Texas 77210-4433 (713) 787-1400

The undersigned, being Assignee of the entire interest in the above-identified application by virtue of an Assignment recorded in the United States Patent and Trademark Office as set forth below, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventor(s).

The Assignee hereby revokes any previous Powers of Attorney and appoints:

George R. Beck, Reg. No. 21,180; Gary M. Bond, Reg. No. 29,283; Michael John Murphy, Reg. No. 24,195; Richard H. Shear, Reg. No. 26,583; Mark F. Wachter, Reg. No. 27,243; Jon H. Beusen, Reg. No. 30,610; Dennis R. Hoerner, Jr., Reg. No.

30,914; Lawrence M. Lavin, Reg. No. 30,768; Kenneth D. Goetz, Reg. No. 32,696; John P. Foryt, Reg. No. 32,866; Grace L. Bonner, Reg. No. 32,963; and Thomas P. McBride, Reg. No. 32,706, each an attorney with MONSANTO COMPANY, as its attorney so long as they remain with such company,

#### and

John F. Lynch, Reg. No. 22,504; J. Paul Williamson, Reg. No. 29,600; John D. Norris, Reg. No. 28,246; Patricia A. Kammerer, Reg. No. 29,775; Stephen H. Cagle, Reg. No. 26,445; Kenneth D. Goodman, Reg. No. 30,460; Melinda L. Patterson, Reg. No. 33,062; Susan K. Knoll, Reg. No. 33,254; Steven Z. Szczepanski, Reg. No. 27,957; Barbara S. Kitchell, Reg. No. 33,928; Mary Jo Boldingh, Reg. No. 34,713; L. Gene Spears, Reg. No. 35,369; Janelle D. Waack, Reg. No. 36,300; Russell L. Sandidge, Reg. No. 36,911; Michael E. Lee, Reg. No. 38,949; Gregory L. Porter, Reg. No. 40,131, each an attorney; and Carter J. White, Reg. No. 41,374, a patent agent, with the law firm of ARNOLD, WHITE & DURKEE, as its attorney so long as they remain with such law firm,

with full power of substitution and revocation, to prosecute the application, to make alterations and amendments therein, to transact all business in the Patent and Trademark Office in connection therewith, to receive any Letters Patent, and for one year after issuance of such Letters Patent to file any request for a certificate of correction that may be deemed appropriate.

Pursuant to 37 C.F.R. § 3.73, the undersigned has reviewed the evidentiary documents, specifically the Assignment to MONSANTO COMPANY referenced below, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee.

Pursuant to MPEP § 324(2), the undersigned avers that he is empowered to sign this statement on behalf of assignee.

ASSIGNEE: MONSANTO COMPANY

Date: September 11, 1997

Name: Jon H. Beusen

Registration No. 30,610

Title: Intellectual Property Law Counsel

**ASSIGNMENT:** 

\_\_\_\_Enclosed for recording

X Previously recorded

Date: 12/02/96

Reel: <u>8250</u> Frame: <u>0138</u> Jon H. Beusen

Intellectual Property Counsel
Authorized to sign this document for
Monsante Company by resolution dated

April 18 Goard of Director

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kenneth J. GRUYS Timothy A. Mitsky Ganesh M. Kishore Steven C. Slater Stephen R. Padgette David M. Stark

Serial No.:

Filed: August 30, 2001

For: METHOD OF OPTIMIZING SUBSTRATE POOLS AND BIOSYNTHESIS OF POLY-β-HYDROXYBUTYRATE-CO-POLY-β-HYDROXYVALERATE IN BACTERIA

AND PLANTS

Group Art Unit:

Examiner: To be Assigned

Atty. Dkt. No.: 11899.0155.DVUS02

(MOBT:155-3/KAM)

#### TRANSMITTAL OF FORMAL DRAWINGS

Commissioner for Patents

Washington, D.C. 20231

Sir:

NUMBER **EL521288762US** 

DATE OF DEPOSIT: August 30, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAN POST OFFICE TO ADDRESSEE" service under 37 F. R. 1/0 on the date indicated above and is addressed to: Commissioner for atents, Washington, DC 20231.

CERTIFICATE OF EXPRESS MAILING

Applicants hereby submit for filing the formal drawings (Figures 1-23) in the above-referenced application.

No fees are believed to be due in connection with the filing of these drawings, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Assistant Commissioner is hereby authorized to deduct said fees from Arnold White & Durkee Deposit Account No. 01-2508/11899.0155.DVUS02/KAM.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,

Patricia A. Kammerer

Reg. No. 29,775

ATTORNEY FOR ASSIGNEE,

Howrey Simon Arnold & White, LLP 750 Bering Drive HOUSTON, TX 77057-2198

Date: August 30, 2001